

To: File Nos.: S7-25-20, S7-10-22, S7-17-22, S7-32-22, S7-04-23, S7-12-23
From: Office of Public Engagement
Date: 10/6/23
Re: Virtual Meeting with Committee on Capital Markets Regulation

On October 5, 2023, Chair Gensler and SEC staff met with the Committee on Capital Markets Regulation to discuss the following proposals:

- Custody of Digital Asset Securities by Special Purpose Broker-Dealers
- The Enhancement and Standardization of Climate-Related Disclosures for Investors
- Enhanced Disclosures by Certain Investment Advisers and Investment Companies About Environmental, Social, and Governance Investment Practices
- Regulation Best Execution
- Safeguarding Advisory Client Assets
- Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Heather Slavkin Corzo, Director of Policy

Representatives from the Committee on Capital Markets Regulation included:

- Hal Scott, President
- John Gulliver, Executive Director
- Connor Kortje, Senior Research Fellow