

## MEMORANDUM

**To:** File No. S7-12-23  
**From:** William Middlebrooks, Counsel to Commissioner Hester M. Peirce  
**Re:** Meeting with Representatives of the State Financial Officers Foundation (SFOF)

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On 12 September, 2023 Commissioner Hester M. Peirce and Sumeera Younis and William Middlebrooks, Counsels to Commissioner Peirce, met with the following representatives from SFOF:

- Derek Kreifels, Chief Executive Officer
- Noah Wall, Executive Vice President for Policy & Gov. Affairs

Among the topics discussed was the Commission's rulemaking proposal on:

- *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker Dealers and Investment Advisers*