

MEMORANDUM

To: File No. S7-12-23
From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of Edward Jones

On 1 September, 2023 Commissioner Hester M. Peirce and William Middlebrooks, Counsel to Commissioner Peirce, met with the following representatives from Edward Jones:

- Penny Pennington
- Lisa Bertain
- Jessica Hopper
- Bridget Farrell

Among the topics discussed was the Commission's rulemaking proposal on:

- *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker Dealers and Investment Advisers*