

MEMORANDUM

August 9, 2023

TO: File Number S7-12-23
FROM: Barbara Roper, Senior Advisor to the Chair
RE: Meeting with Consumer Federation of America

On August 4, 2023, Barbara Roper, Senior Advisor to the Chair, met with Dylan Bruce, Financial Services Counsel with Consumer Federation of America. The participants discussed the Commission's proposal relating to Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.