

## MEMORANDUM

*August 3, 2023*

**TO:** File Number S7-12-23

**FROM:** Jacob D. Krawitz  
Policy Counsel, Office of the Chair

**RE:** Meeting with CFA Institute

On August 3, 2023, the staff members from the Office of the Chair listed below met with the following representatives of the CFA Institute:

**SEC Participants:**

Barbara Roper, Senior Advisor  
Jacob Krawitz, Policy Counsel

**CFA Institute Participants:**

Stephen Deane  
Olivier Fines

The participants discussed the Commission's proposal relating to Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.