

MEMORANDUM

August 3, 2023

TO: File Number S7-12-23
FROM: Barbara Roper, Senior Advisor to the Chair
RE: Meeting with Better Markets

On August 3, 2023, Barbara Roper, Senior Advisor to the Chair, met with Stephen Hall, Legal Director and Securities Specialist with Better Markets. The participants discussed the Commission's proposal relating to Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.