

TO: Files for the Commission’s Request for Comment on Potential Technical Changes to EDGAR Filer Access and Filer Account Management Processes

FROM: Daniel Chang, EDGAR Business Office

RE: Meeting with Representatives of Commenters and Other EDGAR Filers and Filing Agents

DATE: February 22, 2022

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On Tuesday, February 15, 2022, representatives of the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives of entities that had previously submitted comment letters to the SEC in response to the Request for Comment on Potential Technical Changes to EDGAR Filer Access and Filer Account Management Processes and other EDGAR filers and filing agents. The SEC representatives were Jed Hickman, Rosemary Filou, Daniel Winter, Margaret Marrero, and Daniel Chang (all from the EDGAR Business Office), with relevant SEC contractors also in attendance. The representatives of commenters and other EDGAR filers and filing agents included Michelle Savage and David Tauriello (XBRL US); Marcie Clark, Angela McTere, Joshua Duncan, Bill Freeland, Ken Rangsy, and Wanda Welch (Donnelley Financial Solutions, Inc.); Jennifer Froberg, Teresa Japser, Tim Wysocki, and Methi Kar (Toppan Merrill, LLC); Lisa Cousino, Jeff Helman, Eric Jones, Kenneth Chung, John Bowen, Joseph Kayanan, Nikkyann Berteau, Marilyn Peng, Steve Neal, and Henry Del Toro (Broadridge Financial Solutions, Inc.); Scot Theis (Novaworks, LLC); Anna Kwok and Jeff Thieleke (Workiva, Inc.); Will Allen (RDG Filings, Inc.); David McGuire (M2 Compliance); Shawn Rush (CompSci Resources, LLC); Cynthia Wekesa (EDGAR Agents, LLC); Esmeralda Reyna (Exxon Mobile Corporation); Michelle Hinspeter and Beverly Patoir (Paul, Weiss, Rifkind, Wharton & Garrison LLP); and Ruth Kaufman and Emily Lee (Davis Polk & Wardwell LLP).

The meeting was held as part of the staff’s efforts to seek additional information and engage in a dialogue regarding the concerns raised by commenters in response to the Commission’s Request for Comment, pursuant to the Chair’s request that the staff consider how the agency might address concerns articulated by commenters. The participants discussed the EDGAR Next project, including EDGAR filer access and filer account management processes.