

MEMORANDUM

TO: File No. S7-12-20

FROM: Joanne Kim
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: November 17, 2021

SUBJECT: Conference Call with Representatives from MarketAxess Holdings Inc.

On November 17, 2021, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with representatives from MarketAxess Holdings Inc. (“MarketAxess”). The SEC representatives were David Dimitriou, Tom Eady, Michael Gaw, Steve Gibson, Steven Despres, Richard Schwinn, Matthew Cursio, Arisa Kettig, Megan Mitchell, and Joanne Kim from the Division of Trading and Markets. The MarketAxess representatives were Richard McVey and Scott Pintoff.

The discussion concerned, among other things, the SEC proposal concerning “Regulation ATS for ATSS that Trade U.S. Government Securities, NMS Stock, and Other Securities; Regulation SCI for ATSS that Trade U.S. Treasury Securities and Agency Securities; and Electronic Corporate Bond and Municipal Securities Markets” [Release No. 34-90019].