

MEMORANDUM

To: File No. S7-12-15

From: Robert Cobbs Counsel to Commissioner Caroline Crenshaw U.S. Securities and Exchange Commission

Date: Nov. 9, 2021

Re: Meeting with Representatives of Americans for Financial Reform

On November 9, 2021, Robert Cobbs from Commissioner Crenshaw's office met telephonically with Andrew Park of Americans for Financial Reform.

The Reopening of Comment Period for Listing Standards for Recovery of Erroneously Awarded Compensation was among the topics discussed.