

MEMORANDUM

To: File

From: Andrew Green
Counsel to Commissioner Kara Stein
U.S. Securities and Exchange Commission

Date: November 4, 2014

Re: Discussion with Lisa Donner, Marcus Stanley, Heather Slavkin, and Eileen Appelbaum, from the Americans for Financial Reform coalition

On Wednesday, October 30, 2014, Commissioner Stein, along with Allison Herren Lee, Robert Peak, Michael Spratt, and Andrew Green, staff to Commissioner Stein, met with Lisa Donner, Marcus Stanley, Heather Slavkin, and Eileen Appelbaum, from the Americans for Financial Reform coalition. Among the topics discussed were the Commission's proposed rules to implement sections 953(b) and 956 of the Dodd-Frank Act.