

## MEMORANDUM

November 1, 2022

**TO:** File No. S7-10-22

**FROM:** Charles Lee  
Office of Commissioner Mark T. Uyeda

**RE:** Meeting with Representatives of Nasdaq, Inc.

On November 1, 2022, Commissioner Mark T. Uyeda and his counsels, Rob Fisher and Charles Lee, had a meeting with Nasdaq. Commissioner Uyeda and the attendees met virtually. The Nasdaq representatives in attendance consisted of:

- John Zecca (Executive Vice President, Global Chief Risk and Regulatory Officer)
- Jeff Davis (Senior Vice President, Senior Deputy General Counsel)
- Eun Ah Choi (Senior Vice President, Regulatory Operations, US Head of Listing Qualifications & Market Surveillance)
- Kevin Kennedy (Senior Vice President, North American Markets)
- Brett Kitt (Assistant Vice President, Principal Associate General Counsel)
- Phil Mackintosh (Chief Economist)

Among other matters, the participants discussed Nasdaq's comment letter filed in connection with the proposed rule The Enhancement and Standardization of Climate-Related Disclosures for Investors.