

MEMORANDUM

TO: File No. S7-10-22

FROM: Katherine Kelly, Counsel to Commissioner Allison Herren Lee

RE: Meeting with Ceres Paris Aligned Investment Working Group

DATE: June 22, 2022

On April 20, 2022, Commissioner Lee and her counsel, Katherine Kelly, met with representatives from the Ceres Paris Aligned Investment Working Group, including the following:

Sarah	Adams	Vert Asset Management
Ben	Aliperti	Ceres
Nawar	Alsaadi	Canada Post
John	Amoasi	Franklin Templeton
Hanah	Anscombe	Capital Group
Travis	Antoniono	CalPERS
Ofelia	Aranda	Energy Transition Capital
Shweta	Arya	OPTrust
Jesse	Audet	KKR
Stephanie	Baima	Ceres
Mohammad	Barkeshli	Hall Capital Partners
Hannah	Barr	Clearbridge
Sarah	Bernstein	Meketa
Rob	Berridge	Ceres
Loren	Blackford	Sierra Club Foundation
Emily	Bogen	NYS Common
Lily	Bowles	Pacific Asset Management
Lizzie	Brice	Ceres
Todd	Bridges	Arabesque Asset Management
Alfie	Brixton	AQR Asset Management
Laura	Brooks	Neuberger Berman
Julianna	Brunini	Terra Alpha Investments
Michael	Cappucci	Harvard
Lindsay	Chamberlain	Axiom Investors
Margaret	Childe	Manulife
Jeannie	Cho	KKR
Dina	Ciarmatori	Neuberger Berman
Adriana	Cid	Lombard Odier (Prospect)
Madeline	Clark	Cambridge Associates

Sean	Collins	Prudential Financial
Katherine	Collins	Putnam Investments
Andrew	Collins	SFERS
Lauren	Compere	Boston Common Asset Management
Wendy	Cromwell	Wellington
Jonas	Cuypers	Mackenzie
Amy	Dai	Builders Vision
Laura	Devenney	Boston Trust Walden
Nicholas	Elfner	Breckinridge Capital Advisors
Michael	Ellis	Inherent Group
Peter	Ellsworth	Ceres
Chris	English	Lord Abbett
Joy	Facos	Carillon Tower
Robert	Fernandez	Breckinridge Capital Advisors
Christopher	Flensburg	SEB
Chris	Fox	Ceres
Rebecca	Francolini	Mackenzie
Gabriel	Gerson	Ceres
Daniel	Goldman	Clean Energy Ventures
Liz	Gordon	NYS Common
Julie	Gorte	Impax
Barbara	Grady	Ceres
Christine	Gurdon	Ceres
Tristan	Haas	Apollo
Sarah	Hamel	Ceres
Bruce	Hao	Builders Vision
Amy	Hauter	Brown Advisory
Tessa	Hebb	Carleton University
Edmond	Ho	Vancity
Rebecca	Hoffman	Ceres
Cornelia	Horner	University of Virginia Investment Management Company
Elsa	Iovanella	Brown Advisory
Mahesh	Jayakumar	MFS
McKenzie	Jones	Bailard
Meredith	Jones	MJ Alternative Investment Research
Benjamin	Joseph	Franklin Templeton
Ashwin	Joshi	BlackRock
Ibrahim	Kara	Calvert
Danielle	Karam	Fidelity Investments
Kaede	Kawauchi	Ceres

Ellena	Kim	Bain Capital
David	Kinsley	SKY Harbor Capital Management
Gerold	Koch	Allianz Global Investors
Hyewon	Kong	IMCO
Iliya	Krutko	Bridge Investment Group
Marissa	Lafave	Parnassus
Laura	Lake	Payden & Reysel
Morgan	Lamanna	Ceres
Mary	Landy	Putnam Investments
Eric	Lee	Aligned Climate Capital
Elizabeth	Levy	Trillium Asset Management
Jon	Linen	Energy Transition Capital
Alison	Loat	OPTrust
Ken	Locklin	Impax
Karen	Lockridge	Canada Post
Mindy	Lubber	Ceres
Paula	Luff	DSC
Yezi	Lyu	Neuberger Berman
Tyler	MacHutchon	IG Wealth Management
Emily	Mackey	Rockefeller
Randi	Mail	Ceres
Morrigan	Marran	Vancity
Julia	Marsh	Calvert
Elizabeth	Marshall	Hall Capital Partners
Sarah	McCracken	Ceres
Tyler	McCullough	Ceres
Evan	McEvoy	Wells Fargo
Maya	McFann	Epoch Investment Partners, Inc.
Cynthia	McHale	Ceres
John	McHughan	TD Asset Management
Chris	McKnett	Wells Fargo Asset Management
Mary	McQuillen	Clearbridge
Chris	Meyer	Praxis
David	Miller	Clean Energy Ventures
Keian	Mohammadi	Payden & Reysel
Reed	Montague	Calvert
Greg	Murphy	Ecofin
George	Mussalli	Panagora Asset Management
Réjean	Nguyen	Addenda Capital
Charles	Nguyen	Neuberger Berman

Kelly	Odion	Ceres
Masaru	Okubo	Sumitomo
Lynn	Paquin	California State Controller
Allan	Pearce	Ceres
Gian	Peressutti	IFM
Marcela	Pinilla	Zevin Asset Management
Dan	Popielarski	MFS
David	Post	Parametric
Vladimir	Proano	Ceres
Jillian	Pruner	Brown Advisory
Natalie	Queathem	Ceres
Brian	Rice	CalSTRS
Udo	Riese	Allianz
Paul	Rissman	Sierra Club Foundation
Marcella	Rizzuti	Ceres
Isela	Rosales	Bridge Investment Group
Sara	Rosner	Alliance Bernstein
Steven	Rothstein	Ceres
Jo	Saleeba	New Forests
Ashley	Schulten	BlackRock
Adrienne	Schulthess	SFERS
Katherine	Seely	Hall Capital Partners
Dean	Segell	Investment Management Corporation of Ontario
Tom	Shingler	Callan
Toby	Shute	Paradice Investment Management
Fr	SJ	Jesuits of Canada
Kirsten	Spalding	Ceres
Tudor	Stoenescu	Lord Abbett
Kimberly	Stokes	Calvert
Benjamin	Tabor	Ceres
Julie	Tanner	CBIS
Ryan	Taylor	Ceres
Jeremy	Turk	Vanguard
KJ	Villa	Gavi Alliance
Natalie	Wasek	Seventh Generation Interfaith
Lindsey	White	Ceres
Thomas	Whitley	Shenkman Capital
Rob	Wilson	MFS
Noah	Wolfensen	Payden & Reysel
Karen	Wong	State Street Global Advisors

Vanessa	Wong	Ceres
William	Woo	Ceres
Eri	Yamaguchi	NYS Common
Jimmy	Yan	NYC Comptroller
Sarah	Yang	Brown Advisory
Leah	Yoblanka	The Jordan Company

Among the topics discussed was the proposed rule re: The Enhancement and Standardization of Climate-Related Disclosures for Investors.