

MEMORANDUM

TO: File No. S7-10-21
FROM: Jim Wintering, Division of Trading and Markets
RE: Meeting with Representatives of Robinhood Financial, LLC
DATE: October 4, 2021

On Monday, October 4, 2021, representatives of the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives of Robinhood Financial, LLC (“Robinhood”).

The SEC representatives were David Saltiel, Thomas Eady, Roni Bergoffen, Meredith Macvicar, Mark Donohue, Kapil Gogia, Jesse Brady, Roman Ivanchenko, Emily Westerberg Russell, John Fahey, Devin Ryan, Alicia Goldin, Kyra Grundeman, Wanda Hunter, and Jim Wintering from the Division of Trading and Markets; Sarah ten Siethoff, Melissa Gainor, and Matthew Cook from the Division of Investment Management; Charles Lin from the Division of Economic and Risk Analysis; and Owen Donley and Rahman Harrison from the Office of Investor Education and Advocacy.

The Robinhood representatives were David Dusseault, Lucas Moskowitz, Mack McCain, Norm Ashkenas, Aparna Chennapragada, Dheerja Kaur, Abhishek Fatehpuria, and Ben Brown.

The participants discussed, among other things, matters addressed in Robinhood’s [comment letter](#) dated October 1, 2021.