

- 1. Do you have one or more online trading or investment accounts? Yes, I have one or more accounts that I access both online using a computer and using a mobile app.
- 2. If your response to Question 1 is "Yes", do you think you would trade or invest if you could not do so online using a computer or using a mobile app?
  Yes
- 3. On average, how often do you access your online account? Daily/more than once a day
- 4. On average, how often are trades made in your online account, whether by you or someone else? Once to a few times a week.
- 5. If you access your account online, did you have the account first, and only began to access it electronically later? Or did you open the account with the idea that you would access it electronically immediately?

I downloaded an app or visited a website first, and then opened up an account with the company

6. My goals for trading or investing in my online account are (check all that apply):

Other

If Other, Explain:

Go to the MOON off #AMC

7. What would you like us to know about your experience with the features of your online trading or investment platform? (Examples of features are: social networking tools; games, streaks, or contests with prizes; points, badges, and leaderboards; notifications; celebrations for trading; visual cues, like changing colors; ideas presented at order placement or other curated lists or features; subscription and membership tiers; or chatbots.)

It is not a free market as my market orders do not go to the NYSE. They get rerouted to dark pools where my stock that I am buying is not going up like it should. It only benefits the rich and powerful. I defended this country 14yr, combat tour in Afghanistan, now I'm receiving disability pay from my

service. I had America's back, but America does not have mine. Institutions like Citadel and others will always break the law. They will make 100 million while getting fined 20 million. Why would they stop?

- 8. If you were trading or investing prior to using an online account, how have your investing and trading behaviors changed since you started using your online account? (For example, the amount of money you have invested, your interest in learning about investing and saving for retirement, the amount of time you have spent trading, your knowledge of financial products, the number of trades you have made, the amount of money you have made in trading, your knowledge of the markets, the number of different types of financial products you have traded, or your use of margin.) I do not trust the ppl in charge. I believe it is ruining America and will cause irreversible issues later down the line.
- 9. How much experience do you have trading or investing in the following products (None, <12 months, 1-2 years, 2-5 years, 5+ years):

Stocks : 2-5 Years Bonds : 2-5 Years Options : 2-5 Years

Mutual Funds: 2-5 Years

ETFs: 2-5 Years Futures: 2-5 Years

Cryptocurrencies : 2-5 Years Commodities : 2-5 Years

Closed–End Funds: 2-5 Years Money Market Funds: 2-5 Years

Variable Insurance Products: 2-5 Years

Business Development Companies : 2-5 Years

Unit Investment Trusts: 2-5 Years

10. What is your understanding, if any, of the circumstances under which trading or investing in your account can be suspended or restricted?

Look at what happened to GameStop on robinhood.

11. What else would you like us to know – positive or negative - about your experience with online trading and investing?

It's not a free market. Amc dark pools are ruining the market. Naked shorting is ruining the market. Well if your a retail investor, the ppl in charge are always coming out ahead. And members of the senate or congress should not be allowed to buy and sell stock.

We will post your feedback on our website. Your submission will be posted without change; we do not redact or edit personal identifying information from submissions. You should only make submissions

that you wish to make available publicly.

If you are interested in more information on the proposal, or want to provide feedback on additional questions, click <u>here</u>. Comments should be received on or before [date].

Thank You!

## **Other Ways to Submit Your Feedback**

You also can send us feedback in the following ways (include the file number S7-10-21 in your response):

	Secretary Securities and Exchange Commission 100 F Street, NE Washington, DC 20549-1090
	Use the printer friendly page and select a PDF printer to create a file you can email to: rule-comments@sec.gov
Print a Blank Copy of this Flyer, Fill it Out, and Mail	Secretary Securities and Exchange Commission 100 F Street, NE Washington, DC 20549-1090

File No. S7-10-21