



1. Do you have one or more online trading or investment accounts?

Yes, I have one or more accounts that I access online using a computer.

2. If your response to Question 1 is "Yes", do you think you would trade or invest if you could not do so online using a computer or using a mobile app?

No

3. On average, how often do you access your online account?

Daily/more than once a day

4. On average, how often are trades made in your online account, whether by you or someone else?

Less often than once a month.

5. If you access your account online, did you have the account first, and only began to access it electronically later? Or did you open the account with the idea that you would access it electronically immediately?

I downloaded an app or visited a website first, and then opened up an account with the company

6. My goals for trading or investing in my online account are (check all that apply):

Keep the amount of money I have, while keeping up with inflation

Save and grow my money for short-term goals (in the next year or two)

Save and grow my money for medium- to long-term goals

7. What would you like us to know about your experience with the features of your online trading or investment platform? (Examples of features are: social networking tools; games, streaks, or contests with prizes; points, badges, and leaderboards; notifications; celebrations for trading; visual cues, like changing colors; ideas presented at order placement or other curated lists or features; subscription and membership tiers; or chatbots.)

Unlike Robinhood in which I can see something i have purchase will show up instantly. TD Ameritrade does not show and has delays in which this

does not make the news. If you are to go after one company you should go after them all. TD has a hard to understand format, Unlike Robinhood which makes it easy to understand and to be able to use. Use say Robinhood is a social networking tool. I myself have found this to be False. It is not a game unless you make it a game, Robin hood has been getting easier to use. They offered ATM services which ties into your RH Account for easy access to your funds but it does not have the best ATM system (Due to the lack of ATM Machines). RH is also being hit for opening up a Banking Services in which THEY pay you interest. As for so Called "Hidden" income, IF you actually read everything before you sign (This is due to the lack of attention in this age of Just give it to me generation they might know the 5 W's) you will know. Thank you.

8. If you were trading or investing prior to using an online account, how have your investing and trading behaviors changed since you started using your online account? (For example, the amount of money you have invested, your interest in learning about investing and saving for retirement, the amount of time you have spent trading, your knowledge of financial products, the number of trades you have made, the amount of money you have made in trading, your knowledge of the markets, the number of different types of financial products you have traded, or your use of margin.)

N/A

9. How much experience do you have trading or investing in the following products (None, <12 months, 1-2 years, 2-5 years, 5+ years):

Stocks : 2-5 Years

Bonds : None

Options : None

Mutual Funds : Less Than 12 Months

ETFs : Less Than 12 Months

Futures : None

Cryptocurrencies : 1-2 Years

Commodities : None

Closed-End Funds : None

Money Market Funds : None

Variable Insurance Products : None

Business Development Companies : None

Unit Investment Trusts : None

10. What is your understanding, if any, of the circumstances under which trading or investing in your account can be suspended or restricted?

If any of these occur due to restricted it usually has to do with the Stock it self, Insider trading, Criminal Investigations, Etc. As to suspended This is an SEC rule itself. Both of them help the public from harm and losing their funds, but a smart person will do a spread of their investments.

11. What else would you like us to know – positive or negative - about your experience with online trading and investing?

TD Ameritrade is the worst in Customer Service, Listing of purchase in which I had a delay of 24 hours which cost me more than i had planned to spend on a stock. TD is not the easiest format to understand. Whereas Robinhood is easy and is growing with a diversity in its selection of products.

We will post your feedback on our website. Your submission will be posted without change; we do not redact or edit personal identifying information from submissions. You should only make submissions that you wish to make available publicly.

If you are interested in more information on the proposal, or want to provide feedback on additional questions, click [here](#). Comments should be received on or before [date].

Thank You!

Other Ways to Submit Your Feedback

You also can send us feedback in the following ways (include the file number S7-10-21 in your response):

<p><u>Print Your Responses</u> and Mail</p>	<p>Secretary Securities and Exchange Commission 100 F Street, NE Washington, DC 20549-1090</p>
<p><u>Print a PDF of Your Responses</u> and Email</p>	<p>Use the printer friendly page and select a PDF printer to create a file you can email to: rule-comments@sec.gov</p>
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