

MEMORANDUM

TO: File No. S7-10-21

FROM: Claire O'Sullivan
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representative of Wells Fargo

On July 13, 2023, Claire O'Sullivan and Susan Poklemba spoke with John Vahey of Wells Fargo. Among the topics discussed was the Commission's request for information and comment on broker-dealer and investment adviser digital engagement practices.