

To: File

From: Adam Large
Policy Counsel, Office of the Chair
U.S. Securities and Exchange Commission

Date: March 15, 2022

Re: Meeting with Prof. James Tierney

On March 15, 2022, Barbara Roper, Senior Advisor to the Chair, and Adam Large, Policy Counsel, Office of the Chair, met with Professor James Tierney, University of Nebraska College of Law.

Among the topics discussed was the Commission's request for comment on broker-dealer and investment adviser digital engagement practices (Release No. 34-92766; File No. S7-10-21).