

To: File

From: Barbara Roper
Senior Advisor to the Chair
U.S. Securities and Exchange Commission

Date: February 10, 2022

Re: Discussion with Friends of Fiduciary Group

On Wednesday, February 9, 2022, Barbara Roper, Senior Advisor to the Chair, met with the following members of the Friends of Fiduciary Group: Jasmine Vasquez, Director of Government Affairs, AARP; Seth Boffeli, Advisor, Fraud Prevention Programs AARP; Michael Watkins, Public Policy and Advocacy Counsel, National Association of Personal Financial Advisors; Gail Bernstein, General Counsel, Investment Adviser Association; Neil Simon, Vice President, Government Relations, IAA; William Nelson, Associate General Counsel, IAA; Steve Hall, Legal Director and Securities Specialist, Better Markets; Joanna Flanagan, Attorney, CFP Board; Maureen Thompson, Vice President of Public Policy, CFP Board; Dylan Bruce, Financial Services Counsel, Consumer Federation of America; and Mike Canning, Principal and Founder, LXR Group.

Among the topics discussed was the Commission's request for comment on broker-dealer and investment adviser digital engagement practices (Release No. 34-92766; File No. S7-10-21).