

MEMORANDUM

To: File Nos. S7-10-20; 4-698

From: Office of Commissioner Elad Roisman

Re: Meeting with Representatives of SIFMA

On February 8, 2021, Commissioner Roisman and Ben Bernstein met with the following representatives of SIFMA:

- William Leahey, Refinitiv
- Gregg Berman, Citadel
- Mark Hendrickson, DA Davidson
- John Sheehy, Fidelity
- Aileen Foley, Fidelity
- David Emero, Goldman Sachs
- Ben Hoffart, Goldman Sachs
- Colin Alexander, JP Morgan
- Debbie Toennies, JP Morgan
- Bill Freilich, JP Morgan
- Doug Bloom, Morgan Stanley
- Sapna Patel, Morgan Stanley
- Thom Tremaine, Raymond James
- Judy McDonald, Susquehanna International Group
- Ken Bentsen, SIFMA
- Ellen Greene, SIFMA
- Ira Hammerman, SIFMA

Among the topics discussed were the Commission's proposed amendments to the national market system plan governing the consolidated audit trail to enhance data security (File No. S7-10-20) and the notice of filing of amendments to the national market system plan governing the consolidated audit trail to revise the reporter agreement and the reporting agent agreement (Release No. 34-90826; File No. 4-698).