

MEMORANDUM

To: File No. S7-09-20

From: Elizabeth Blase, counsel to Commissioner Elad L. Roisman

Re: Meeting with Investment Company Institute (“ICI”)

On June 28, 2021, Commissioner Roisman and his counsel Elizabeth Blase met with the following representatives of ICI:

- Kenneth Fang, Associate General Counsel, ICI
- Dorothy Donohue, Deputy General Counsel, ICI
- George Magera, Deputy General Counsel, Federated Hermes
- Julie Richmond VP, Associate General Counsel, Fidelity Investments
- Christopher Rohrbacher , Managing Director/Associate General Counsel, Nuveen
- Jay Herold, Senior Counsel, MFS
- John Granger, Senior Counsel, MFS
- Amanda Mooradian, Senior Counsel, T. Rowe Price

Among the topics discussed were the Commission’s proposed changes to shareholder reports and Rule 30e-3, and ICI’s views on the same (as reflected in ICI’s letter submitted to the comment file).