

MEMORANDUM

TO: File No. S7-08-18

FROM: Marc Sharma, Chief Counsel
Office of the Investor Advocate

DATE: July 30, 2018

RE: Meeting with Investment Adviser Association

On July 30, 2018, Rick Fleming, Investor Advocate, and Marc Sharma, Chief Counsel of the Office of the Investor Advocate, met with the following representatives of the Investment Adviser Association:

- Karen Barr, President and Chief Executive Officer;
- Gail Bernstein, General Counsel;
- Sanjay Lamba, Assistant General Counsel; and
- Neil Simon, Vice President of Government Relations.

Among the topics discussed were the Commission's proposed Form CRS Relationship Summaries.