

MEMORANDUM

TO: File Number S7-08-12

FROM: Valentina Minak Deng, Special Counsel
Office of Financial Responsibility, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: March 7, 2019

RE: Meeting with Representatives of ISDA

On March 7, 2019, Commission staff participated in a meeting with representatives of the International Swaps and Derivatives Association, Inc. (“ISDA”) to discuss the proposed rules and rule amendments regarding capital, margin, and segregation requirements for security-based swap dealers and capital requirements for broker-dealers (release number 34-68071), and the comment period re-opening for the proposed rules and amendments (release number 34-84409).

Commission staff at the meeting included Valentina Deng, Joseph Levinson, Raymond Lombardo, Thomas McGowan, Randall Roy, Sheila Swartz, and Chul Park.

ISDA representatives at the meeting included Tara Kruse and Christopher Young.