

MEMORANDUM

TO: File Number S7-08-12

FROM: William J. Katt, Jr.
Special Counsel
Office of Derivatives Policy, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: April 11, 2018

RE: Meeting with Representatives of Morgan Stanley

On April 11, 2018, Commission staff met with the following representatives of Barclays:

- Soo-Mi Lee
- Kirsten Doody
- Sebastian Crapanzano
- Anthony Cicia
- Maria-Ines Raij

Among the topics discussed were issues surrounding the proposed capital, margin, and segregation requirements for security-based swap dealers and major security-based swap participants under Dodd Frank Title VII.

Commission staff included John Roeser, Carol McGee, Joanne Rutkowski, William Katt, Heather Seidel, Randall Roy, Tom McGowan, Joshua Kans, Bonnie Gauch, Yvonne Fraticelli, Ajay Sutaria, Andrea Orr, Mike Macchiaroli, Mike Gaw, Justin Pica, Sheila Swartz, Katerina Imus, Valentina Deng, and Michelle Danis.