

## MEMORANDUM

TO: File No. S7-07-23

FROM: Jill S. Henderson, Senior Counsel to the Director and Chief Economist  
Division of Economic and Risk Analysis

RE: Meeting with SIFMA

DATE: February 15, 2024

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On February 13, 2024, staff from the Division of Economic and Risk Analysis and the Division of Trading and Markets met with SIFMA. The general topics discussed included the Commission's proposal on *Regulation Systems Compliance and Integrity*.

Attendees from the SEC included Jessica Watcher, Daniel Bresler, Amy Edwards, Rooholah Hadadi, Hans Heidle, Jill Henderson, and Ardith Spence (DERA); and David Saltiel, David Shillman, Sara Hawkins, Alex Jaden, Arun Manoharan, Joshua Nimmo, Sharon Park, An Phan, Heidi Pilpel, John Prochilo, Katriana Roh, Gita Subramaniam, and Peggy Sullivan (TM).

Attendees from SIFMA included Kenneth Bentsen (SIFMA), Charles DeSimone (SIFMA), Ellen Greene (SIFMA), Thomas Price (SIFMA), Robert Toomey (SIFMA), Chloe Cabot (JPMorgan Chase), Adam Elias (Bank of America), Aaron Friedman (Citadel), Justin Kletter (Bank of America), Tommy Martin (Dash Financial Technologies), Thomas Merritt (Virtu), Mark Morrison (Bank of America), Jonah Platt (Citadel), Patel Sapna (Morgan Stanley), Sarah Sprague (Morgan Stanley), Gary Stone (Bloomberg), and Andrew Upward (Jane Street).