

## MEMORANDUM

**To:** File No. S7-07-23  
**From:** Heidi Pilpel  
**Date:** February 14, 2024  
**Re:** Meeting with Bank of America

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On February 13, 2024, SEC staff from the Division of Trading and Markets and others met with representatives of Bank of America to discuss matters related to the SEC's Regulation Systems Compliance and Integrity proposal.

Members of the SEC staff included:

- David Saltiel, David Shillman, Heidi Pilpel, David Liu, Gita Subramaniam, Alexis Hall, Kate Roh, Laura Powell, An Phan, Tom McGowan, Holly Miller, Sara Hawkins, and Robert Hegarty.

Representatives of Bank of America included:

- Andrew S. Margolin, MD – Associate General Counsel for Global Markets & International
- Justin Kletter, MD – Associate General Counsel for Global Markets & International
- Adam Elias, SVP – Public Policy / Federal Government Relations
- Pankil Patel, MD – Global Head of the Equity Platform and Electronic Trading
- Earl Littlefield, MD – Business Continuity, Operational Resiliency and Inter-Affiliate Services
- Daniel Stamler, MD – Global Prime Financing Clearing
- Mark Morrison, Director – Global Prime Financing Clearing