

MEMORANDUM

TO: File No. S7-07-23

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Representatives of SIFMA

DATE: December 20, 2023

On December 20, 2023, counsels to Commissioner Jaime Lizárraga, Parisa Haghshenas, Diem-Mi Lu, and Brandon Hill met with the following representatives of the SIFMA:

- Ken Bentsen, CEO
- Charles DeSimone, Managing Director, Tech Ops
- Ellen Greene, Managing Director, Equity and Options Market Structure
- Robert Toomey, Managing Director, Head of Capital Markets Group
- Gary Stone, Bloomberg
- Gary Goldsholle, Charles Schwab
- Jonah Platt, Citadel
- Gregg Berman, Citadel
- Ryan Brophy, Citi
- Stewart Pomerantz, Jeffries
- Chloe Cabot, JP Morgan
- Doug Bloom, Morgan Stanley
- Sapna Patel, Morgan Stanley
- Andrew Smith, Virtu
- Tom Merritt, Virtu

Among the topics discussed was the Commission's proposal on Regulation SCI.