

MEMORANDUM

TO: File No. S7-07-23
File No. S7-32-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of Citadel

On September 13, 2023, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of Citadel, including:

- Joe Mecane, Head of Execution Services;
- Sahand Rabbani, Quantitative Research;
- Gregg Berman, MD, Market analytics and regulatory structure;
- Ryan VanGrack, US General Counsel;
- Jonah Platt, US Head of Government & Regulatory Policy; and
- Aaron Friedman, Deputy US Head of Government & Regulatory Policy.

Among the topics discussed were the Commission's proposed amendments to Regulation SCI (S7-07-23), as well as the Regulation Best Execution proposal (S7-32-22).