

To: File No.: S7-07-23
From: Office of Public Engagement
Date: 10/19/23
Re: Meeting with Citadel

On October 19, 2023, Chair Gensler and SEC staff met with Citadel to discuss, among other matters, the following proposals:

- Regulation Systems Compliance and Integrity – S7-07-23

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of Division of Economic and Risk Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets

Representatives from Citadel included:

- Joe Mecane, Head of Execution Services
- Ryan VanGrack, US General Counsel
- Stephen Berger, Global Head of Government & Regulatory Policy
- Jonah Platt, US Head of Government & Regulatory Policy
- Sahand Rabbani, Quantitative Research
- Gregg Berman, MD, Market Analytics and Regulatory Structure