

MEMORANDUM

September 13, 2023

TO: File Nos.: S7-07-23 and S7-32-22

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Citadel Securities (“Citadel”)

On September 13, 2023, Commissioner Mark T. Uyeda and his counsel, Robert Fisher, had a meeting with Citadel. Commissioner Uyeda and the attendees met in-person. The Citadel representatives in attendance consisted of:

- Joe Mecane, Head of Execution Services
- Sahand Rabbani, Quantitative Research
- Gregg Berman, MD, Market analytics and regulatory structure
- Ryan VanGrack, US General Counsel
- Jonah Platt, US Head of Government & Regulatory Policy
- Aaron Friedman, Deputy US Head of Government & Regulatory Policy

Among other matters, the participants discussed Citadel’s comment letters filed in connection with the Regulation Systems Compliance and Integrity, and Regulation Best Execution.