

MEMORANDUM

To: File No. S7-07-23
From: Josh Nimmo
Date: August 2, 2023
Re: Meeting with SIFMA

On August 1, 2023, SEC staff from the Division of Trading and Markets met with representatives and members of SIFMA to discuss matters related to the SEC's Regulation Systems Compliance and Integrity proposal.

Members of the SEC staff included:

- Haoxiang Zhu, David Saltiel, David Shillman, Heidi Pilpel, Randall Roy, Josh Nimmo, Jesse Brady, Nina Kostyukovsky, Wei Liu, Alex Jadin, Sharon Park, Roman Ivanchenko, Hans Heidle, Kate Roh, Alexis Hall, Daniel Bresler, Jesse Brady, David Liu, Gita Subramanian.

Members and representatives of SIFMA included:

- Charles De Simone, Matthew Roberts, Jonah Platt, Stewart Pomerantz, Gary Stone, Andrew Smith, Gregg Berman, Gary Goldsholle, Ryan Brophy, Ellen Greene, Douglas Bloom, Tom Merritt, Chloe Cabot, Rob Toomey.