

MEMORANDUM

To: File No. S7-07-23
From: Josh Nimmo
Date: May 19, 2023
Re: Meeting with Dash Financial Technologies

On May 18, 2023, SEC staff from the Division of Trading and Markets met with Dash Financial Technologies to discuss matters related to the SEC's Regulation Systems Compliance and Integrity proposal.

Members of the SEC staff included:

- Heidi Pilpel, Senior Special Counsel, OMS
- Sara Hawkins, Special Counsel, OMS
- Josh Nimmo, Special Counsel, OMS
- An Phan, Special Counsel, OMS
- Jesse Brady, Senior Financial Analyst, OAR

Members of Dash Financial Technologies included:

- David Dooman
- Jaclyn Butler
- Tommy Martin
- Tim Miller