

## MEMORANDUM

**To:** File No. S7-07-20

**From:** William Middlebrooks, Counsel to Commissioner Hester M. Peirce

**Re:** Meeting with Representatives of the Investment Company Institute (ICI)

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On 26 August, 2020, Commissioner Hester M. Peirce and William Middlebrooks, Counsel to Commissioner Peirce, as well as Elizabeth Blase, Counsel to Commissioner Elad Roisman, met with the following representatives from ICI and industry:

- Gregory Smith, Senior Director, Fund Accounting and Compliance ICI
- Dorothy Donohue, Deputy General Counsel, ICI
- Matthew Thornton, Assistant General Counsel, ICI
- David J. Carter, Vice President, Associate General Counsel, Fidelity Investments
- Stacie Smith, SVP Treasurer – Equity, High Income – AM Treasurer’s Office, Fidelity Investments
- Brian Janssen, Vice President – Investment Operations, Capital Group
- Nelson Lee, Senior Vice President, Capital Group
- Adam Peden, Core Operations Valuation, Capital Group
- Wu-Kwan Kit, Senior Vice-President, Senior Counsel, PIMCO
- Stacie Anctil, Executive Vice President, PIMCO

Among the topics discussed was the Commission’s rulemaking proposal on the *Good Faith Determinations of Fair Value*, set forth in Release no. IC-33845 (April 21, 2020).