

MEMORANDUM

To: File No. S7-07-19
From: Kay Smith, Senior Advisor to Chairman Jay Clayton
Date: November 26, 2019
Re: Meeting with HSBC

On November 19, 2019, Kay Smith, Eric Diamond, Sean Memon, and Alan Cohen participated in a meeting with Mark Hutchinson, Seth Bender, and Matthew Kellogg of HSBC. File No. S7-07-19 was discussed, among other things.