

MEMORANDUM

TO: File Nos. S7-06-23, S7-07-23

FROM: Matthew Lee
Office of Clearance and Settlement
Division of Trading and Markets

DATE: March 24, 2023

SUBJECT: Call with Attorney of Culhane Meadows, PLLC

On March 24, 2023, Jeff Mooney and I spoke with Kristen Geyer, an attorney and partner of Culhane Meadows, PLLC. During the call, we discussed the following rulemaking proposals as applied to clearing agencies:

- S7-07-23, Regulation Systems Compliance and Integrity, Release No. 34-97143; and
- S7-06-23, Cybersecurity Risk Management Rule for Broker-Dealers, Clearing Agencies, Major Security-Based Swap Participants, the Municipal Securities Rulemaking Board, National Securities Associations, National Securities Exchanges, Security-Based Swap Data Repositories, Security-Based Swap Dealers, and Transfer Agents, Release No. 34-97142.