

MEMORANDUM

To: File Nos. S7-32-10; S7-06-22
From: Office of Commissioner Caroline Crenshaw, U.S. Securities and Exchange Commission
Date: July 18, 2023
Re: Meeting with Brian Schorr of Trian Partners

On July 18, 2023, Commissioner Crenshaw and Robert Cobbs met telephonically with Brian Schorr of Trian Partners.

The proposals on the Prohibition Against Fraud, Manipulation, or Deception in Connection with Security-Based Swaps; Prohibition against Undue Influence over Chief Compliance Officers; Position Reporting of Large Security-Based Swap Positions & Modernization of Beneficial Ownership Reporting were among the topics discussed.