

## MEMORANDUM

TO: File Nos. S7-32-10, S7-06-22

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with CIRCA

DATE: November 8, 2022

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On November 8, 2022, Commissioner Jaime Lizárraga, Parisa Haghshenas, Laura D’Allaird, Diem-Mi Lu, and Brandon Hill met with following representatives of the Counsel for Investor Rights and Corporate Accountability (CIRCA):

Brian Gunderson, Elliot Management  
Jeff Cook-McCormac, Third Point LLC  
Josh Targoff, Third Point LLC  
Brian Schorr, Trian Fund  
Jose Ceballos, S-3 Group  
Justin Daly, Daly Consulting Group  
Milan Dalal, Tiger Hill Partners

Among the topics discussed was the Commission’s proposed rules on, Prohibition Against Fraud, Manipulation, or Deception in Connection with Security-Based Swaps; Prohibition against Undue Influence over Chief Compliance Officers; Position Reporting of Large Security-Based Swap Positions; and Modernization of Beneficial Ownership Reporting.