

## MEMORANDUM

TO: File No. S7-06-11

FROM: Iliana Lundblad

RE: Implementation of Dodd-Frank Wall Street Reform and Consumer Protection Act  
S7-06-11 – Registration and Regulation of Security-Based Swap Execution  
Facilities

DATE: November 18, 2011

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On November 16, 2011, Nancy Burke-Sanow, Michael Gaw, Tom Eady, Tyler Raimo, Adam Moore, Sarah Schandler, Iliana Lundblad, Yvonne Fraticelli, Kathleen Gross, Natasha Cowen, Sandra Mortal, Chester Hill, and Jasmin Sethi, met with James Cawley (Javelin) and Chris Koppenheffer (Javelin).

The agenda topics included: (1) Public dissemination of SB SEF trade data; (2) A request for quote to minimum of three; and (3) Objective criteria for “made available to trade” determinations.