

MEMORANDUM

TO: File No. S7-06-11

FROM: Iliana Lundblad

RE: Implementation of Dodd-Frank Wall Street Reform and Consumer Protection Act
S7-06-11 – Registration and Regulation of Security-Based Swap Execution
Facilities (“SB SEFs”)

DATE: August 2, 2011

On July 25, 2011, Heather Seidel, Nancy Burke-Sanow, Tom Eady, David Liu, Constance Kiggins, Steven Varholik, Leah Mesfin, Iliana Lundblad, Sarah Schandler, Chester Hill, and Jasmin Sethi, met with representatives from the National Futures Association (“NFA”) including Karen Wuertz (Senior VP), Ken Haase (Senior VP), Thomas Sexton (Senior VP), Edward Dasso (VP), and Michael Crowley (Associate General Counsel).

The discussion topic was the role of a regulatory services provider for SB SEFs.

[No agenda available for this meeting.]