

## MEMORANDUM

TO: File No. S7-06-11

FROM: Iliana Lundblad

RE: Implementation of Dodd-Frank Wall Street Reform and Consumer Protection Act  
S7-06-11 – Registration and Regulation of Security-Based Swap Execution  
Facilities

DATE: February 23, 2011

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On February 16, 2011, Heather Seidel, Nancy Burke-Sanow, Thomas Eady, David Liu, Michael Gaw, David Michehl, Susan Erwin, Tina Barry, Steve Varholik, Heidi Pilpel, Sarah Schandler, Jasmin Sethi, Michael Ogershok, Kathleen Gray and Iliana Lundblad from the Division of Trading and Markets, met with representatives from Barclays Capital including Keith Bailey (Managing Director, Global Markets), Joel Kent (Managing Director, Global Markets), Sonali Das Theisen (Director, Global Markets), Nadine Cancell (Director, Global Compliance), Patrick Durkin (Managing Director, Government Policy and Banking) and Allison Parent (Director, Government Policy and Banking).

The agenda for the meeting included: (1) SEF trading protocols; (2) Indicative composite quote; (3) Block trades; and (4) Available to trade.

[No agenda available for this meeting.]