

MEMORANDUM

TO: File No. S7-06-11

FROM: Iliana Lundblad

RE: Implementation of Dodd-Frank Wall Street Reform and Consumer Protection Act
S7-06-11 – Registration and Regulation of Security-Based Swap Execution
Facilities

DATE: February 22, 2011

On February 16, 2011, Heather Seidel, Thomas Eady, Sarah Schandler, Jasmin Sethi, and Steve Varholik from the Division of Trading and Markets, met with Jon Olson (TruMarx Data Partners), Jerry Putnam (TruMarx Data Partners), Jim Newsome (Delta Strategy Group) and Lara Driscoe (Delta Strategy Group).

The agenda for the meeting included discussion on the rule-making under the Dodd-Frank Act.

[No agenda available for this meeting.]