

MEMORANDUM

To: File No. S7-05-23
From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of the Securities Transfer Association (STA)

On 25 May, 2023 Commissioner Hester M. Peirce, and Richard Gabbert, Ammon Simon, and William Middlebrooks, Counsels to Commissioner Peirce, met with the following STA representatives:

- Steve Nelson, President & Chairman, Continental Stock Transfer & Trust Company
- Andrea Manning, Associate General Counsel, Computershare Inc.
- David Becker, General Counsel, Equiniti Trust Company
- Mark Harmon, Partner, Hodgson Russ LLP
- Erin Teske, Partner, Hodgson Russ LLP
- Melissa Cabocel Executive Director, Securities Transfer Association

Among the topics discussed was the Commission's rulemaking proposal on:

- *Regulation S-P: Privacy of Consumer Financial Information and Safeguarding Customer Information.*