

MEMORANDUM

TO: File Nos. S7-26-22; S7-17-22; S7-04-23; S7-12-23; S7-25-22

FROM: Janet Jun, Senior Counsel, Division of Investment Management

RE: Meeting with the U.S. Chamber of Commerce

DATE: September 18, 2024

On September 18, 2024, staff of the SEC's Division of Investment Management ("IM") held an in-person and videoconference meeting with representatives from the U.S. Chamber of Commerce. Participants included: Natasha Vij Greiner (Director, IM), Sarah ten Siethoff (Deputy Director, IM), Brian Johnson (Assistant Director, IM), Jennifer Porter (Assistant Director, IM), Mykaila DeLesDernier (Senior Counsel, IM), and Janet Jun (Senior Counsel, IM), as well as the following representatives from the U.S. Chamber of Commerce:

- Kristen Malinconico, Senior Director, Center for Capital Markets Competitiveness
- Bill Hulse, Senior Vice President, Center for Capital Markets Competitiveness

The participants discussed, among other things, the SEC's proposed rules "Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting" (Release Nos. 33-11130; IC-34746); "Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices," (Release Nos. IA-6034; IC-34594); "Safeguarding Advisory Client Assets" (Release No. IA-6240); "Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers" (Release Nos. 34-97990; IA-6353); and "Outsourcing by Investment Advisers" (Release No. IA-6176).