

MEMORANDUM

May 23, 2024

TO: File Nos. S7-04-23; S7-26-22; S7-17-22

FROM: Holly Hunter-Ceci
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of State Street Global Advisors (“SSGA”)

On May 23, 2024, Commissioner Mark T. Uyeda and his counsel, Holly Hunter-Ceci, and his policy advisor, Taylor Asher, met in person with the following:

- Yie-Hsin Hung, CEO
- Sean O'Malley, General Counsel
- Joe Barry, Senior Vice President, Regulatory, Industry and Government Affairs (“RIGA”)
- Steve Patterson, Managing Director, RIGA

Among other matters, the representatives discussed their comment letters submitted in response to the proposals re: open-end fund liquidity, safeguarding, and ESG-related disclosures for funds and advisers.