

MEMORANDUM

TO: File Nos. S7-04-23; S7-26-22; S7-17-22

FROM: Janet Jun, Senior Counsel, Division of Investment Management

RE: Meeting with State Street Global Advisors

DATE: May 22, 2024

On May 22, 2024, staff of the SEC's Division of Investment Management ("IM") held an in-person meeting with representatives from State Street Global Advisors ("SSGA"). Participants included: Natasha Vij Greiner (Director, IM), Sarah ten Siethoff (Deputy Director, IM), Jacob Krawitz (Senior Special Counsel, IM), and Janet Jun (Senior Counsel, IM), as well as the following representatives from SSGA:

- Yie-Hsin Hung, CEO
- Sean O'Malley, General Counsel, SSGA
- Joseph Barry, Senior Vice President and Managing Director, Industry Affairs
- Karolina Arias, Vice President, US Public Policy

The participants discussed, among other things, the SEC's proposed rules "Safeguarding Advisory Client Assets" (Release No. IA-6240); "Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting" (Release Nos. 33-11130; IC-34746); and "Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices" (Release Nos. IA-6034; IC-34594).