

## MEMORANDUM

**To:** File Nos. S7-04-23; S7-12-23

**From:** William Middlebrooks, Counsel to Commissioner Hester M. Peirce

**Re:** Meeting with Representatives of the European Banking Federation (EBF)

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On 16 May, 2024, Commissioner Hester Peirce and Richard Gabbert, Ammon Simon, Sumeera Younis, and William Middlebrooks, Counsels to Commissioner Peirce, met with the following EBF representatives:

- Sébastien de Brouwer, Deputy Chief Executive Officer of the EBF
- Arnaud de Tregomain, COO & Head of Business Development, Global Markets at BNP Paribas
- James Leigh, Principal, Head of International Affairs at UK Finance
- Dan Constantin, Policy Adviser at Association of German Banks
- Tim Steinhoff, Representative Washington D.C. at Commerzbank AG
- Blazej Blasikiewicz, Director of International, Legal & Social Affairs at EBF
- Joerg Riegel, Director and Senior Counsel – Head of U.S. Regulatory Initiatives at Société Générale
- Erik Soderberg, Head of Regulatory Affairs – Americas at Deutsche Bank Securities Inc.
- Jeffrey Siegel, Managing Director – Head of U.S. Public and Regulatory Policy at BNP Paribas
- Melissa M. Ruth, Director, Regulatory Affairs at Natixis CIB Americas
- Kevin Clarke, Executive Director at UBS
- John Longobardo, Managing Director and Counsel, Global Head of Sanctions Legal, Head of Regulatory Legal NY, Crédit Agricole Corporate and Investment Bank

The conversation touched upon the Commission's proposed rule on:

- *Safeguarding Advisory Client Assets;*
- *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker Dealers and Investment Advisers.*