

## MEMORANDUM

**To:** File No. S7-04-23

**From:** William Middlebrooks, Counsel to Commissioner Hester M. Peirce

**Re:** Meeting with Representatives of BNY Mellon

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On 21 March, 2024, Commissioner Hester Peirce and Ammon Simon, Sumeera Younis and William Middlebrooks, Counsels to Commissioner Peirce, met with the following BNY Mellon representatives:

- Robin Vince, CEO
- Jennifer Xi, Managing Director and Deputy General Counsel, Global Head of Public Policy and Government Affairs.

The conversation touched upon the Commission's proposed rule on:

- *Safeguarding Advisory Client Assets.*