

MEMORANDUM

February 8, 2024

TO: File No. S7-04-23

FROM: Holly Hunter-Ceci
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of State Street Corporation (“State Street”)

On February 7, 2024, Commissioner Mark T. Uyeda and his counsels, Steven B. Levine and Holly Hunter-Ceci, met in person with the following:

- Mark Shelton, Executive Vice President and General Counsel;
- Joe Barry, Senior Vice President, Regulatory Industry and Government Affairs; and
- Steve Patterson, Managing Director, Regulatory Industry and Government Affairs.

Among other matters, the participants discussed State Street’s comment letter submitted in response to the safeguarding advisory client assets proposal.