

## MEMORANDUM

TO: File No. S7-04-23  
FROM: Nathan R. Schuur, Counsel to Commissioner Caroline Crenshaw  
RE: Meeting with State Street  
DATE: February 7, 2024

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On February 7, 2024, Commissioner Caroline Crenshaw and her counsels Janna Berke, Susan Poklemba, and Nathan Schuur met with representatives of State Street Corporation. Participants included:

- Mark Shelton, Executive Vice President and General Counsel;
- Steve Patterson, Managing Director; and
- Joe Barry, Senior Vice President.

The participants discussed, among other things, the Commission's proposal titled Safeguarding Advisory Client Assets, Release No. IA-6240 (Feb. 15, 2023) [88 FR 14672 (Mar. 9, 2023)].