

MEMORANDUM

October 30, 2023

TO: File Nos. S7-01-23; S7-26-22; S7-04-23

FROM: Holly Hunter-Ceci
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of TIAA/Nuveen

On October 25, 2023, Commissioner Mark T. Uyeda and his counsel, Holly Hunter-Ceci met in person with the following:

- Yves Denize, Senior Managing Director and Division General Counsel, Nuveen
- Jennifer Johnson, Vice President and Associate General Counsel, Nuveen
- John McCally, General Counsel, Churchill Asset Management
- John McCann, Managing Director, Managing Associate General Counsel, Nuveen
- Shawnda Martin, Director of Regulatory Policy and Associate General Counsel, TIAA

Among other matters, the participants discussed comment letters submitted in response to open-end fund liquidity proposal by TIAA, the safeguarding proposal for investment advisers by the Committee of Annuity Insurers and the Insured Retirement Institute, and the conflicts of interest in certain securitizations proposal by the Structured Finance Association.