

MEMORANDUM

October 23, 2023

TO: File Number S7-04-23

FROM: Jacob D. Krawitz
Policy Counsel, Office of the Chair

RE: Meeting with Investment Adviser Association (IAA)

On October 23, 2023, Heather Slavkin Corzo and Jacob Krawitz of the Chair's Office met with the following representatives of the IAA:

Karen Barr	(IAA)
Gail Bernstein	(IAA)
Monique Boykin	(IAA)
Laura Grossman	(IAA)
David Bartels	(Dechert LLP)
Mark Perlow	(Dechert LLP)
Michael McGrath	(Dechert LLP)

The participants discussed the Commission's proposal relating to Safeguarding Advisory Client Assets.